

Substitute Form PTO-1449 (Revised)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06975-132002	Application No. 09/978,046
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.88(b))		Applicant Joseph G. Barrett et al.	
		Filing Date October 17, 2001	Group Art Unit 2152

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						

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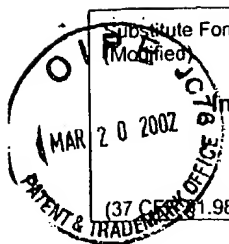
Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
	AE						Yes	No
	AF							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
BE	AG	Eric Allman, <i>SENDMAIL™ Installation and Operation Guide Version 8.310 for Sendmail Version 8.10</i> , Sendmail, Inc., (84 pages).
	AH	<i>Allowing controlled SMTP relaying in Sendmail 8.9</i> , (2 pages), http://web.archive.org/web/20000510213022/www.sendmail.org/tips/relaying.html .
	AI	<i>Anti-Spam Configuration Control</i> , (5 pages), http://web.archive.org/web/20000520064211/www.sendmail.org/m4/anti-spam.html .
	AJ	<i>Anti-Spam Provisions in Sendmail 8.8</i> , (4 pages), http://web.archive.org/web/20000510040556/www.sendmail.org/antispam.html .
	AK	Mark Durham, <i>Spam Control in 8.10</i> , (3 pages), http://web.archive.org/web/20000618042433/sendmail.net/?feed=interview810-5 .
	AL	Paul Boutin, <i>RFC 2476: Message Submission Agent</i> , (3 pages), http://web.archive.org/web/200010270602105/sendmail.net/?feed=rfc2476 .
	AM	Paul Boutin, <i>RFC 2554: SMTP Authentication</i> , (4 pages), http://web.archive.org/web/20001016141928/sendmail.net/?feed=rfc2554 .
	AN	<i>Relaying Denied/Allowed (in sendmail 8.8/8.9)</i> , Last Update 2/11/2000, (2 pages), http://web.archive.org/web/20000418000700/www.sendmail.org/~ca/email/relayingdenied... .
	AO	rfc2222, J. Myers, <i>Simple Authentication and Security Layer (SASL)</i> , (16 pages), October 1997, The Internet Society (1997), http://web.archive.org/web/20001027153713/www.cis.ohio-state.edu/htbin/rfc/rfc2222.ht... .
	AP	rfc2554, J. Myers, <i>SMTP Service Extension for Authentication</i> , (11 pages), March 1999, The Internet Society (1999), http://web.archive.org/web/20001018181229/www.cis.ohio-state.edu/htbin/rfc/rfc2554.ht... .
	AQ	<i>Using SMTP AUTH in Sendmail 8.10</i> , (2 pages), March 27, 2000, http://web.archive.org/web/20001016174022/sendmail.net/?feed=usingsmthpauth .

Examiner Signature <i>Bradley Coleman</i>	Date Considered 7/22/04
EXAMINER: Initials/citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	


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(37 CFR 1.98(b))

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
BF	AA	5,999,932	12/7/99	Paul			
BF	AB	6,052,709	4/18/00	Paul			
	AC						
	AD						
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	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

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Examiner Signature

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Date Considered

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